

## Overview and Frequently Asked Questions

Mining is an intensive process involving potential social and environmental risks that, if not properly managed, can cause lasting negative impacts. A growing body of research suggests that these risks may be significant and associated with a variety of metals and minerals that extend beyond tin, tungsten, tantalum and gold. Governments, non-governmental organizations (NGOs), investors, customers and other industry stakeholders are increasing their expectations on private sector responsibility to drive responsible behavior deep in their supply chains.

### Why Get Involved?

We have an opportunity and responsibility to include prioritized raw materials in our responsible sourcing strategies. As a multi-industry initiative, we acknowledge the challenges of achieving a state where industry can collectively understand and agree to its part in advancing responsible sourcing. The Responsible Raw Materials Initiative (RRMI) seeks to promote the common goal of understanding and contributing to mitigating the salient social and environmental impacts of extraction and processing of raw materials in supply chains, leveraging direct and indirect partnerships and using international standards (e.g. Organisation for Economic Co-operation and Development (OECD) Guidelines for Multinational Enterprises, United Nations (UN) Guiding Principles on Business and Human Rights) as our guideposts. Together as an industry, and working with all stakeholders, we believe we can make a difference and commit to meet expectations of current and future market, legal and regulatory instruments.

### What Will We Do?

RRMI will operate as a working group, co-sponsored by the Electronic Industry Citizenship Coalition (EICC) and the Conflict-Free Sourcing Initiative (CFSI), to identify and prioritize the salient social and environmental impacts of extraction and processing of raw material in international supply chains. Working with participating companies and cross-sector partners, we will seek to advance select initiatives to drive meaningful improvement in the mining sector. Throughout this ongoing process, RRMI will promote member and stakeholder engagement, education and participation through an active advocacy focus on these issues. For more details, see the RRMI in Action section of this document.

### How Do We Succeed?

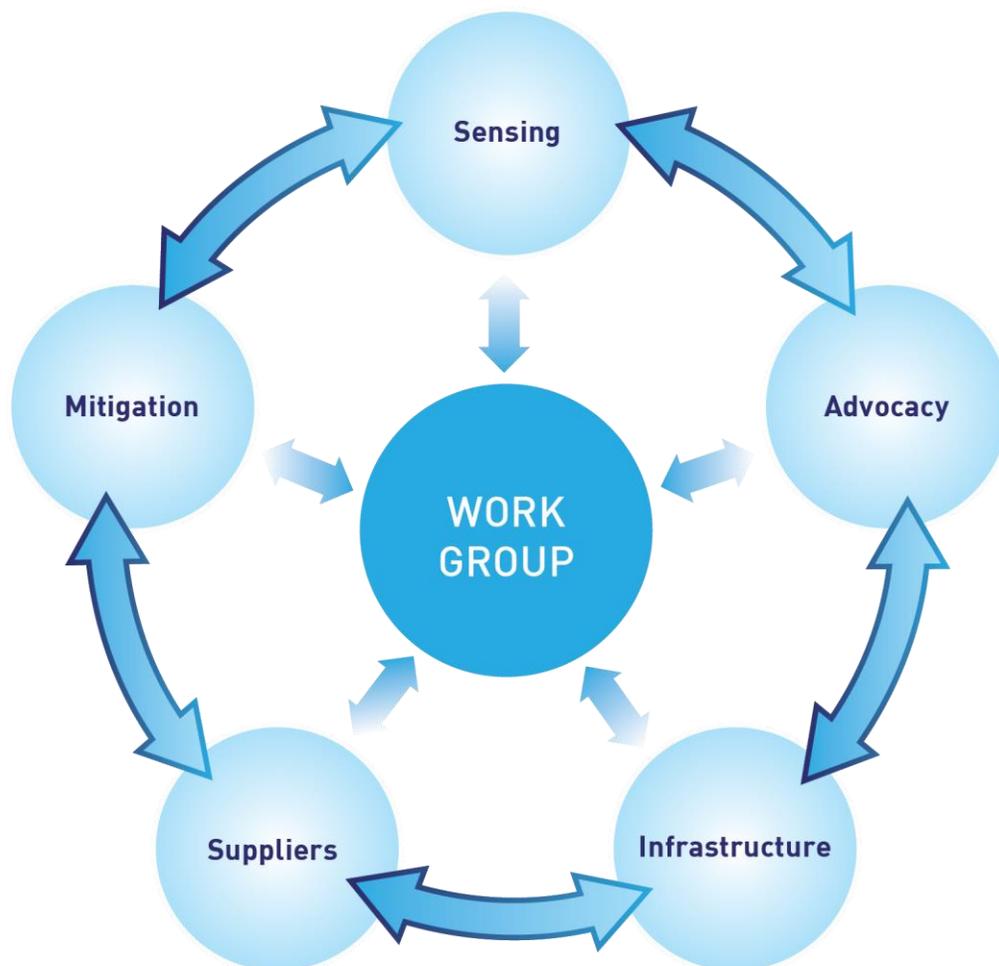
To be effective, RRMI will strive to operate using the following critical success criteria:

- Commitment to measure and drive impact on the ground;
- Coordination of multi-industry and multi-sector stakeholder actions;
- A credible, transparent process for prioritization and action;
- Clear, consistent criteria for supply chain commitment to expectations;
- An active network of partners, systems, infrastructure and capability; and
- Effective strategic communication vehicles that are valuable and appreciated by stakeholders.

## How do I join the initiative?

Companies can participate in the Responsible Raw Materials Initiative (RRMI) by signing the [Declaration of Support](#). This public declaration demonstrates your company's commitment to the principles of the RRMI. There are no expectations of additional financial commitments for EICC or CFSI members at this time; however, participants are expected to contribute to RRMI activities as appropriate. In the near future the RRMI will develop a mechanism for partners and stakeholders to participate as well. To show your company's public support, return an electronic version of the signed copy of your Declaration of Support to [RRMI@eiccoalition.org](mailto:RRMI@eiccoalition.org).

## The RRMI in Action



## Work Group

We will drive and organize efforts to establish a successful launch and transition to a sustainable operational model. Objectives and activities:

- Establish regular meeting/convening cycle based on needs
- Align on expectations for work group members
- Develop strategy and roadmap
- Develop a 6-18 month budget and deliverables
- Create a startup operating model
- Socialize and gain Board and Steering Committee approval for strategy, budget, deliverables and operating model
- Provide input to staffing model
- Drive continuous improvement for the network

## Sensing

We will seek to understand the current state of each issue, identify a starting point, and develop initial scoping and recommendations. Objectives and activities:

- Identify emerging areas of concern with the following dimensions: raw materials, geographies, and issues (e.g. child labor, forced labor, health and safety, environmental impacts)
- Conduct relevant research to support decision-making. Sources may include NGO reports, general media, material flow data, industry profiles and demographics, and other credible sources
- Prioritize emerging areas of concern using criteria such as materiality to participating companies, severity of risks, reputational and extent of risks associated with specific materials or regions, ability to influence (leverage, urgency, critical mass and timing), regulatory pressures (requirements), and other relevant criteria
- Create recommendations to address priority areas of concern for steering decision-making

## Advocacy

We will organize and implement advocacy efforts to grow the network, build relationships, listen and learn. Objectives and activities:

- Develop communication materials including, collateral, talking points, value proposition and other relevant communications deliverables
- Engage government and quasi-government forums (relevant national governments, China, European Union, USA, World Bank, OECD, UN, etc.)
- Engage stakeholders and stakeholder organizations (Public-Private Alliance for Responsible Minerals Trade (PPA), European Partnership on Responsible Minerals (EPRM), NGOs, Socially Responsible Investors (SRIs), customers, trade/industry associations, etc.)
- Work with third party audit organizations that monitor or evaluate commodity supply chain actors

## Infrastructure

We will support existing systems, tools, standards and data, where available, to address priority areas and develop new systems, tools, standards and data if needed. Objectives and activities:

- Systems: Audit programs, coordinated supply chain outreach, Memorandums of Understanding (MOU)/agreements
- Tools: Supply chain surveying tools (e.g., Conflict Minerals Reporting Template (CMRT), risk assessments, standardized templates, risk ratings, aggregated data reports (e.g., Reasonable Country of Origin Inquiry (RCOI))
- Standards: Codes of Conduct, protocols, equivalency criteria
- Platforms for data collection, management, analysis, and sharing as well as eLearning

## Suppliers

We will collaborate with suppliers to create more responsible supply chains. Objectives and activities, depending upon what actions RRMI selects:

- Conduct supply chain due diligence: Collect information from suppliers on their raw material supply chains (e.g., What raw materials do they use? Where do they source materials from? How are they identifying, assessing, and managing risks?)
- Create context, gain commitment and establish expectations for suppliers
- Build supplier capabilities to meet expectations through training, outreach, and tool development
- Conduct supply chain due diligence with a standardized approach

## Mitigation

We will, with relevant partners, co-develop and deploy mitigation actions consistent with our sensing risk assessments. Objectives and activities:

- Conduct due diligence process for allegation resolution
- Support appropriate validation mechanisms (e.g., smelter/refiner audits via the Conflict-Free Smelter Program (CFSP)) or other validation tools and programs
- Participate in “special projects” with appropriate stakeholders such as PPA, EPRM and in-region community projects
- Where appropriate, create exit strategies that define success criteria for mitigation actions and enable withdrawal
- Work to apply our leverage in the supply chain to drive responsible parties to engage in mitigation activities
- Follow-up with responsible parties when and where risks are identified to request their investigation of such risks and mitigation where as appropriate